## CAMPAIGN FOR GREENER ARBITRATIONS

AtLAS – April 22 Hybrid Plenary Meeting



#### 2019

Founded by Lucy Greenwood

#### 2020

- Green Pledge formally launched
- Steering Committee established
- Environmental Impact Assessment carried out
- Awarded "Best New Development" by GAR

#### 2021

- Draft Framework and six Green Protocols launched in January
- February-March regional roundtables to collate feedback
- Final Framework and Protocols released on Earth Day 2021
- Regional Committees established



The **Green Protocols** provide practical ways to implement the Campaign for **Greener Arbitrations' Guiding Principles**, which asks the arbitration community to commit to:

- 1. Creating a workspace with a reduced environmental footprint by looking for opportunities to reduce energy consumption and waste;
- 2. Corresponding electronically, unless hard copy correspondence is expressly needed in the circumstances, while also being mindful that email has a carbon footprint;
- Encouraging the use of videoconferencing facilities as an alternative to travel (including for the purposes of conducting fact finding or interviews with witnesses);
- Avoiding printing, requesting the use of electronic rather than hard copies of documents and promoting the use of electronic bundles at hearings;

- 5. Using, where possible, suppliers and service providers who are committed to reducing their environmental footprint (including for the purposes of arranging an arbitration hearing);
- 6. Considering and/or suggesting, where appropriate, that witnesses or experts give evidence through videoconferencing facilities, rather than attend hearings in person;
- 7. Avoiding unnecessary travel and using videoconferencing facilities as an alternative;
- Considering and questioning the need to fly at all times and offsetting carbon emissions for any arbitration–related travel.

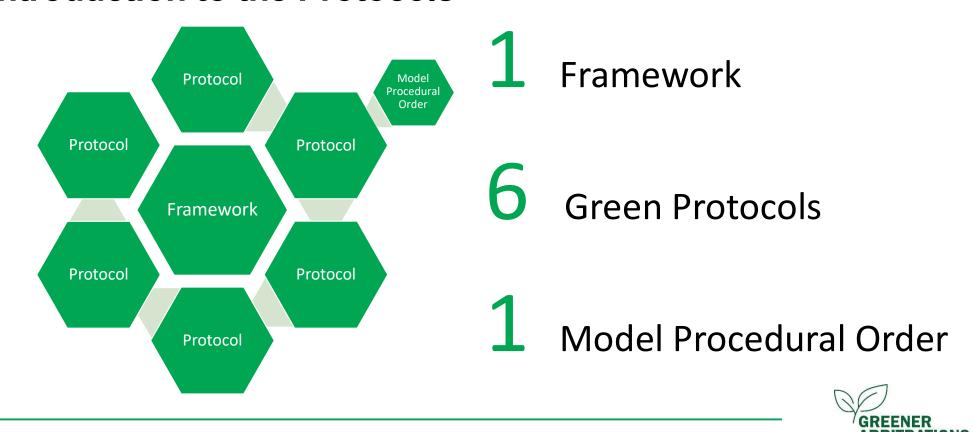


#### Three Main Areas of Focus

The Campaign's work indicates that by focusing on three areas, practitioners could substantially reduce carbon emissions.

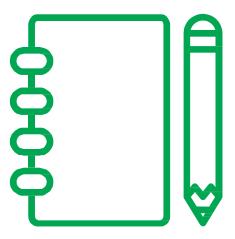
- 1. Adopting clean forms of energy
- 2. Reducing use of long-haul travel
- 3. Reducing waste, for example by eliminating hard copy filings altogether





### Application of the Framework and Green Protocols

The Framework and the Green Protocols are not binding and are not intended to displace applicable rules or derogate from the arbitration agreement unless and to the extent the Parties so agree (either in the arbitration agreement or subsequently) or the Tribunal so orders. The Framework and the Green Protocols do not establish liability or a liability standard for legal or regulatory purposes.





## **Green Protocol for Arbitral Proceedings**

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Section I. Initial Commitment



Section II. Conduct of Proceedings



Section III. Written Submissions and Documentary Evidence



Section IV. Document Production



**Section V.** Witnesses and Experts Preparation



**Section VI.** Hearings



Section VII. Settlements



Section VIII. Travel Responsibly



Section IX. Offsetting Carbon Emissions

Provides Parties and arbitrators with tangible measures to implement in specific arbitral proceedings by party agreement or by Tribunal order.

#### INITIAL COMMITMENT

Tribunals may officially adopt this Green Protocol for Arbitral Proceedings or component parts thereof as <u>guidance OR</u> as <u>part of a procedural order</u> containing supplementary rules for the proceedings.

For the purposes of the latter, Tribunals and Parties are referred to the Model Procedural Order components thereof, as indicated below for standardised language.

#### OR

Parties may incorporate adherence to this Green Protocol for Arbitral Proceedings or component parts thereof into their arbitration agreements as <u>guidance OR as mandatory provisions</u>, to supplement the relevant arbitral rules.



## Model Green Procedural Order

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Section I. Environmental Purpose



Section II. Communications



Section II. Conduct of Proceedings



Section III. Written Submissions and Documentary Evidence



Section IV. Document Production



Section V. Witnesses and Experts Preparation



Section VI. Hearings



Section VII. Travel



Section VIII. Offsetting

Provides draft language which can be easily adopted by Tribunals to implement sustainability measures in the conduct of an arbitration.



# **Green Protocol** for Law Firms, Chambers and Legal Service Providers Working in Arbitration

Section I. Initial

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Section II. Use of Green Energy

Section III. Reduce Energy Consumption

Section IV. Electronic Operations



Section V. Legal Advisor Staff



Section VI. Minimise Printing and Use of Paper



Section VII. Encourage Recycling



Section VIII. Limit Use of Single Use Items/Eliminate Plastic



Section IX. Partner with "Green" Organisations



**Section X.** Travel Responsibly



Section XI. Incentivisation



Section XII. Social Responsibility



Section XIII. Offsetting Carbon Emissions

Provides legal advisors and their employees with suggested sustainability measures for minimising their environmental impact which can be integrated into daily operations or adopted on a case by case basis.

#### INITIAL COMMITMENT

- A. Legal Advisors commit to work with their leadership teams, with a view to reviewing existing environmental policies and practices and, where appropriate, considering and implementing recommendations from this Green Protocol.
- B. Alternatively, Legal Advisors may appoint "Green Ambassadors" whose role shall be to help develop policies and best practices within the firm, based on these recommendations. Green Ambassadors should periodically report to their senior management on the effect of these policies and best practices.



## **Green Protocol for Arbitrators**

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Section I. Initial Commitment



Section II. Minimise Printing and Use of Paper



Section III. Conduct of Proceedings



Section IV. Hearings



**Section V.** Working Environment



Section VI. Encourage Recycling



Section VII. Offsetting Carbon Emissions

Provides individual arbitrators with **practical guidance** to minimise the environmental impact of their practice, including during the course of **arbitral proceedings**.

#### INITIAL COMMITMENT

Arbitrators will endeavor to take all practicable steps to ensure that they minimise the environmental impact of their practice.



## **Green Protocol for Arbitral Institutions**

Section I. Initial Commitment

Section II. Use of Green Energy

Section III. Reduce Energy Consumption

Section IV. Minimise Printing and Use of Paper

Section V. Encourage Recycling

Section VI. Limit Use of Single Use Items/Eliminate Plastic

Section VII. Partner with "Green" Organisations

Section VIII. Travel Responsibly

Section IX. Incentivise Staff

Section X. Social Responsibility

Section XI. Offsetting Carbon Emissions

Provides arbitral institutions with **practical guidance** on minimising their environmental impact.

#### INITIAL COMMITMENT

Arbitral Institutions commit to working with their staff and leadership teams, with a view to reviewing existing environmental policies and practices and, where appropriate, considering and implementing recommendations from this Protocol.



## **Green Protocol for Arbitration Conferences**

Section I. Initial Commitment

Section II. Venue Selection

Section III. Event Planning

Section IV. Conference Registration and Materials

Section V. Conference Procedures

Section VI. Use of Green Energy

Section VII. Reduce Energy Consumption

Section VIII. Minimise Printing and Use of Paper

Section IX. Encourage Recycling

Section X. Limit Use of Single Use Items/Eliminate Plastic

Section XI. Partner with "Green" Organisations

Section XII. Travel Responsibly

Section XIII. Post-Conference

**Section XIV.** Offsetting Carbon Emissions

Suggests **measures** which can be adopted to reduce the environmental impact of **arbitration conferences**.

#### INITIAL COMMITMENT

- A. Organisers of arbitration conferences shall adopt this Green Protocol for Arbitration Conferences in preparation of events.
- B. Those Organisers responsible for planning multiple conferences annually shall consider conducting some events via virtual platform rather than in-person to reduce the carbon footprint of the events.



## **Green Protocol for Arbitration Hearing Venues**

Section I. Initial Commitment

Section II. Use of Green Energy

Section III. Reduce Energy Consumption

Section IV. Conduct of Proceedings

Section V. Minimise Printing and Use of Paper

Section VI. Encourage Recycling

Section VI. Limit Use of Single Use Items/Eliminate Plastic

Section VII. Partner with "Green" Organisations

Section VIII. Travel Responsibly

**Section X.** Offsetting Carbon Emissions

Provides arbitration facilities and hearing centres with measures to minimise their environmental impact as regards their daily operating procedures or as related to a particular arbitration.

#### INITIAL COMMITMENT

- A. Facilitators commit to adopt this Green Protocol for Arbitration Hearing Venues or component parts thereof as part of daily operating procedures.
- B. Alternatively, Parties may request venue adherence to this Green Protocol for Arbitration Hearing Venues or component parts thereof, in preparation of and for the duration of a particular matter.



# **Closing Remarks**



"We must shift our thinking away from short-term gain toward long-term investment and sustainability, and always have the next generations in mind with every decision we make."

-Deb Haaland, US Representative (D-N.M.), Pending nominee for US Secretary of Interior

